## JAP15 Rec'd PCT/PTO 02 FEB 2007

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FORM (REV.	PTO-13 9-2008)	90 (Modified) U.S. PATENT AND TRADEMAR	K OFFICE; U.S. DEPARTMENT OF COMMERCE	ATTORNEY'S DOCKET NUMBER				
T.		ANSMITTAL LETTER TO	57428/M521					
		DESIGNATED/ELECTED	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)					
			ON UNDER 35 U.S.C. 371	10/573,613				
INTE		IONAL APPLICATION NO. CT/DE2004/002154	NTERNATIONAL FILING DATE 22 SEPTEMBER 2004	PRIORITY DATE CLAIMED 25 SEPTEMBER 2003				
TITLE		NVENTION	22 SEI TEMBER 2004	25 SEI TEMBER 2005				
		EDING MECHANISM						
		T(S) FOR DO/EO/US						
IK	AUNI	NGER, Walter						
Appli	cant h	erewith submits to the United States	Designated/Elected Office (DO/EO/US) the	e following items and other information:				
1.		This is a FIRST submission of items	s concerning a submission under 35 U.S.C	c. 371.				
2.	$\boxtimes$		•					
3.		This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (25) indicated below.						
4.		The US has been elected (Article 3	1).					
5.		A copy of the International Application	on as filed (35 U.S.C. 371 (c)(2))					
		a.  is attached hereto (require	ed only if not communicated by the Interna	itional Bureau).				
•		b.   has been communicated to	by the International Bureau.					
		c.   is not required, as the app	lication was filed in the United States Rece	eiving Office (RO/US).				
6.		An English language translation of t	he International Application as filed (35 U.	S.C. 371(c)(2)).				
		a.   is attached hereto.						
		b.   has been previously subm	itted under 35 U.S.C. 154(d)(4).					
7.		Amendments to the claims of the In	ternational Application under PCT Article 1	19 (35 U.S.C. 371 (c)(3))				
		a.   are attached hereto (requi	red only if not communicated by the Intern	ational Bureau).				
		b.   have been communicated	by the International Bureau.	•				
		c.  have not been made; how	ever, the time limit for making such amend	lments has NOT expired.				
		d.   have not been made and v	will not be made.					
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).						
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).						
10.		An English language translation of tarticle 36 (35 U.S.C. 371 (c)(5)).	he annexes to the International Preliminary	y Examination Report under PCT				
11.		A copy of the International Prelimina	ary Examination Report (PCT/IPEA/409).					
12.		A copy of the International Search F	Report (PCT/ISA/210).					
It	ems 1	3 to 23 below concern document(s	s) or information included:					
13.		An Information Disclosure Stateme	nt under 37 CFR 1.97 and 1.98.					
· 14.		An assignment document for record	ling. A separate cover sheet in compliance	e with 37 CFR 3.28 and 3.31 is included.				
15.		A FIRST preliminary amendment.						
16.		A SECOND or SUBSEQUENT preli	minary amendment.					
17.		An Application Data Sheet under 37 CFR 1.76.						
18.		A substitute specification.						
19.		A power of attorney and/or change of address letter.						
20.		A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.						
21.		A second copy of the published International Application under 35 U.S.C. 154(d)(4).						
22.		A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).						
23.	$\boxtimes$	Express Mail Label No. EV809337891US						

PTO-1390 (Rev. 09-2006)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATIO	INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER				
10/573,613			PCT/DE20	PCT/DE2004/002154			57428/M521	
24. Other iter	ns or information:	ė						
Notification of Missing Requirements Under 35 U.S.C. 371								
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The following	g fees have been s	submitted:					CALCULATIONS	PTO USE
25. 🔲 Basic na	ational fee (37 CFI	R 1.492(a)).				\$300	\$ \$0.00	
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Total Sheets	Extra Sheets	Number	of each additional 50 o	or	RAT	E		
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CLAIMS	NUMBER F		NUMBER EXTRA		RATE			
Total claims		- 20 =	0	х	\$50	0.00	\$ \$0.00	
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MULTIPLE DEPE	NDENT CLAIMS	(if applicable	) 🗆	+	\$360	0.00	\$. \$0.00	
		TOT	AL OF ABOVE CA	ALC	ULATIO	NS =	\$ \$0.00	
☐ Applicant cla	ims small entity st	atus. See 37	CFR 1.27. Fees above	e are	reduced l	ру	\$ \$0.00	
				S	UBTOT	AL =	\$ \$0.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$ \$0.00			
TOTAL NATIONAL FEE =					\$ \$0.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be					\$ \$0.00			
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property +  TOTAL FEES ENCLOSED =								
			IVIALIL	_ <b>_</b>			Amount to be	\$
							Amount to be	-
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PTO-1390 (Rev. 09-2006)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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to cover the above fees is enclosed.							
in the amount of \$ to cover the above fees.							
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1728 . A duplicate copy of this sheet is enclosed.							
Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038. The PTO-2038 should only be mailed or faxed to the USPTO. However, when paying the basic national fee, the PTO-2038 may NOT be faxed to the USPTO.							
ADVISORY: If filing by EFS-Web, do NOT attach the PTO-2038 form as a PDF along with your EFS-Web submission. Please							
be advised that this is not recommended and by doing so your credit card information may be displayed via PAIR. To							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
& Bru Ruelt							
SIGNATURE							
D. Bruce Prout							
NAME							
Post Office Box 7068 Pasadena, CA 91109-7068							
20,958							
REGISTRATION NUMBER							
February 2, 2007							
DATE							
:   							





### United States Patent and Trademark Office

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United States Patent and Trademark Office
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P.O. Box 1450
Alexandria, Virginia, 22313-1450

Alexandria, Virginia 22313-1450 www.uspto.gov

ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. 57428M521 10/573,613 Walter Trauninger INTERNATIONAL APPLICATION NO. PCT/DE04/02154 BEGEINAED PRIORITY DATE I.A. FILING DATE 23363 CHRISTIE, PARKER & HALE, LLP 09/25/2003 09/22/2004 PO BOX 7068 DEC 27 2006

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CHRISTIE, PARKER & HALE, LLP

CONFIRMATION NO. 9782
371 FORMALITIES LETTER
COC00000021643934\*

Date Mailed: 12/19/2006

PASADENA, CA 91109-7068

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/27/2006
- English Translation of the IA filed on 03/27/2006
- Copy of the International Search Report filed on 03/27/2006
- Preliminary Amendments filed on 03/27/2006
- Information Disclosure Statements filed on 03/27/2006
- Oath or Declaration filed on 03/27/2006
- Request for Immediate Examination filed on 03/27/2006
- U.S. Basic National Fees filed on 03/27/2006
- Substitute Specification filed on 03/27/2006
- Priority Documents filed on 03/27/2006
- Specification filed on 03/27/2006
- Claims filed on 03/27/2006
- Abstracts filed on 03/27/2006
- Drawings filed on 03/27/2006

CASE # 57428 ACTION MUSICING POINT

REMINDER DUE DATE 2-19-07

DEADLINE 6-19-07

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,

### WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

**VONDA M WALLACE** 

Telephone: (703) 308-9140 EXT 225

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/573,613	PCT/DE04/02154	57428M521

FORM PCT/DO/EO/905 (371 Formalities Notice)